

PROGRAMMING INTERFACE FOR TELEVISION SETTOP CORE SYSTEM  
SOFTWARE

BACKGROUND OF THE INVENTION

5 This application claims the benefit of U.S.  
Provisional Application No. 60/135,268, filed May 21,  
1999.

10 The present invention provides a software  
architecture that enables core software of a television  
settop terminal to be compatible with different settop  
middleware and operating system software. In  
particular, a software interface defines the functions  
and parameters passed between (i) middleware and  
operating system provider software, and (ii) core settop  
software provided in a television settop box or the  
15 like.

20 The recent advent of digital settop terminals has  
spurred the growth of subscriber television networks,  
such as cable/satellite television networks. Such  
terminals can support increased levels of programming  
services and a variety of software-based applications  
and functions, such as an electronic program guide,  
stock or weather banners, shop and bank at home  
services, games, and the like. Moreover, this trend is  
expected to continue with the convergence of telephone,  
25 television and computer networks, and the rise of in-  
home computer networks.

A "user terminal" (e.g., digital settop box) for  
cable or satellite television, or the like, requires OS  
software, middleware and device drivers to function.

OS software provides the multithreading, real-time OS support needed to operate the settop.

5 Middleware is software that connects two otherwise separate applications. For example, such middleware can be provided to mediate between an application program and a network, thereby managing the interaction between disparate applications across heterogeneous computing platforms. More generally, middleware serves as the glue between separate applications, and is sometimes referred to as "plumbing" because it connects two sides of an application and passes data between them.

10 Moreover, drivers are used to control different hardware devices in a terminal, such as tuners, demodulators, MPEG-2 decoders (e.g., audio, video, and data), video encoders, audio mixers, and so forth.

15 In a settop box, "core software" is provided that allows the settop to provide such necessary television functions as service acquisition, system information (SI) management, download capability (e.g., for new application and OS software), return path communication (e.g., for polling the settop for billing purposes), settop configuration, and conditional access control (i.e., security).

20 In the past, each settop has only been designed to use one particular operating environment. The problem of handling multiple operating environments has not previously been addressed in the settop environment. Generally, applications have been ported to various operating environments either through rewrite of the settop system software to that environment or through an abstraction layer.

25 Accordingly, it would be advantageous to enable a choice of different operating environments on a settop

without the need to change the core settop system software. This would enable the reuse of a single settop design for multiple operating environments. It would also enable faster implementation of alternate  
5 operating software environments, permitting a faster time to market new settop devices.

In particular, the software architecture should that allow a customer, such as a cable television system operator, to choose the operating environment, including  
10 the OS kernel, middleware and application software, and device drivers, that will be used in the settop. The core settop system software should allow the device to operate within a common system environment. The architecture should allow for the development of the  
15 core software, and then implementation of the architecture in any of a plurality of different operating environments, such as VRTX, Windows CE, AperiOS, PowerTV and other settop operating environments.

20 The architecture should enable middleware and OS software to control and interact with the core settop system software to facilitate the provision of desirable digital television services, such as service acquisition, determining the status of a service,  
25 configuring the settop, indicating an unsolicited event, and managing user privacy.

The architecture should be suitable for terminals that receive programming services via any type of network, including broadband communications networks,  
30 Digital Subscriber Loop (DSL) networks, and others. The present invention provides an interface to core settop system software that enjoys the aforementioned and other advantages.

5 In particular, the interface of the invention defines each interface point between the "Application OS/Middleware" layer of the settop software and the core settop system software. This provides a mechanism by which the Application OS/Middleware software can control and interact with the core settop system software.

## SUMMARY OF THE INVENTION

A software interface is provided to enable settop middleware and OS software to control and interact with core settop system software. The interface enables the  
5 middleware and OS software to interact with the core system software to facilitate the provision of desirable digital television services.

An apparatus in accordance with the invention provides an interface to core system software in a  
10 television subscriber terminal, and includes a computer readable medium having computer program code means, and means for executing the computer program code means to provide at least one application program interface (API) to enable middleware that mediates between an  
15 application program and said core system software to access a function of the terminal.

A corresponding method is also presented.

TELEVISION

**THE**

## DETAILED DESCRIPTION OF THE INVENTION

5 The Figure illustrates a software platform, or  
achitecture, for implementing a settop box in accordance  
with the present invention. The platform can be  
implemented using any suitable operating system, such as  
the VRTX (virtual real-time executive) operating system  
available from Mentor Graphics Corporation of  
Wilsonville, Oregon, Windows CE available from Microsoft  
Corporation of Redmond, Washington, AperiOS available  
10 from Sony Corporation of New York, N.Y., PowerTV  
available from PowerTV, Inc. of Cupertino, CA, etc.  
Other operating systems can be used.

15 The architecture can be considered to include  
three main levels, including a lower level (functions  
30, 32 and 34) related to hardware and device driver  
functions, a middle level (functions 14, 16, 18, 20, 22,  
24 and 26) related to the core settop software, and a  
high level (functions 10, 12) related to middleware and  
applications.

20 Specifically, the top level of the software  
structure comprises one or more application programs 10  
which can comprise, for example, the Digital Television  
(DTV) navigator application (available from Network  
Controls International, Inc. of Charlotte, North  
25 Carolina), interactive games, enhanced television  
features, Internet web browsers, etc. These  
applications need to communicate with the core settop  
system software 20. This communication is accomplished  
with the middleware/application interface 12, the  
30 middleware porting layer 14, and the software interface  
26 of the present invention.

The next layer in the software structure comprises

09500760-44504

5

20

30



video subsystem 22 and the network communication stacks  
24. This kernel 16 can comprise, for example, a MIPS  
(million instructions per second) port of the VRTX  
kernel version 4.0, available from the Microtec division  
5 of Mentor Graphics Corporation for the Motorola 68000  
family of microprocessors. This kernel 16 provides the  
multithreading, real time OS support needed to operate  
the settop. The OS kernel 16, together with the  
middleware porting layer 14 and middleware/application  
10 interface APIs 12, and the device drivers are components  
of the operating environment. More particularly, the OS  
kernel 16 provides OS facilities such as multitasking,  
inter-task communication, synchronization, and memory  
management. These facilities are used to create tasks,  
15 set task priorities, communicate between tasks, and  
synchronize operation of the system tasks and  
application tasks.

A kernel abstraction component 18 provides generic  
kernel functions needed by the other components. In  
20 particular, component 18 abstracts these functions from  
the specifics of the OS kernel 16. The kernel  
abstraction component 18 includes two sections, namely,  
(i) the kernel calls used by the components, and (ii)  
the kernel-specific translations. Some of the supported  
25 kernel features are implemented in a fashion that is  
independent of the underlying kernel.

The kernel abstraction component 18 may separate  
the details of the OS kernel from the core settop system  
software 20, and be used to isolate the core system  
30 software from the specifics of an OS kernel  
implementation. In this manner, portability of the core  
software 20 between operating environments is provided.  
The OS kernel abstraction 18 provides standard types of

5           The core settop system software 20 contains support  
for the television system features. For example, this  
software can be written to support the DigiCipher® II  
digital television system features. DigiCipher® II is a  
proprietary system developed by General Instrument  
10 Corporation of Horsham, Pennsylvania, U.S.A., the  
assignee hereof. This system includes features that are  
specific to the cable television environment for  
downstream and upstream communications. The features  
supported by the core settop system software 20 include,  
15 without limitation, service acquisition (e.g.,  
acquisition of a pay-per-view movie), system information  
management, settop configuration and control, return  
path for polling, download capability including  
authentication and authorization, and non-volatile  
20 memory management.

30 More specifically, the core settop system software  
20 provides the services that allow the settop to exist  
in a communication (e.g., cable television) network. As  
noted above, the functions of this software include:

**Message reception and distribution** - This software component receives messages over a control channel or through an in-band channel, and distributes them to the appropriate software process for interpretation and processing.

**System information processing** - This component interprets the System Information provided by the protocol (e.g., Advanced Television Systems Committee (ATSC), or Digital Video Broadcast (DVB) standard) specifying the Modulation Type, Channel Map, Service Maps, Service Descriptions, Service Components. This information allows the software to find, reconstruct and deliver the service to the user or application.

**Configuration and control message processing** - This component interprets the configuration and control messages sent to the settop from, e.g., a cable headend controller. This information is used to provision a settop to operate within the cable television system or other applicable network. The component sets up the settop's features and provides the ability to control the settop operation remotely by the system operator.

**Digital and analog service acquisition** - This component performs all of the necessary functions to 'tune' a video/audio service to be presented to the television viewer.

**Conditional access subsystem** - this component authorizes services and applications. Video services are delivered to the settop in an encrypted form. The Conditional Access (CA) system compares authorization information about the service with authorization information that is delivered to the settop. When a service is deemed authorized, the CA system enables the

decryption of that service.

**Download subsystem** - this component acquires software objects from the cable or other applicable network and stores them in the settop's memory. These objects may include, e.g., software suites or applications. The download subsystem determines if it is authorized to accept the object and, if it is, then processes and stores each segment of the object. Once the object is stored, it is validated and can then be enabled to execute either from, e.g., the headend control, or by the operating system.

**Return path subsystem** - This component collects purchase and diagnostic information and transmits it back to the headend (or other appropriate system operator site) upon command. This information is ultimately sent to a billing system to enable billing of services used by the settop. The return path can be a store-and-forward mechanism or an on-demand interactive mechanism.

**Diagnostic Data management subsystem** - This component collects and manages data which can be used to diagnose the state of the settop, allowing for remote determination of the operation and health of the settop software. This data is made available to the OS for display to the user or installer. It is also made available to the return path subsystem for collection by a computer at the system operator's site (e.g., at a cable headend).

The CABIOS (Conditional Access Basic Services) provides conditional access services above the normal service access control, including:

**Object Authentication** - This function authenticates a

software object to determine that the object is the intended object. Such authentication is accomplished, for example, by matching a signature delivered with the object.

- 5    **Object Authorization** - This function authorizes objects to be loaded and executed in the settop. It uses the conditional access hardware to determine the authorization status of the settop.

- 10    **Resource Authorization** - This function authorizes the use of settop resources through the conditional access hardware.

- 15    **Baseline Privacy Key Exchange Services** - These functions support the key exchange requirements of the Cable modem system, which may conform to the Data-Over-Cable Service Interface Specifications (DOCSIS).

- 20    **Cryptographic Functions** - These functions provide a basic cryptographic toolkit for use by the application operating system and applications within the settop. As indicated above, a typical cryptographic tool set follows the PKCS 11 standard, although other standards can be used.

- 25    The graphics, audio and video subsystem 22 includes, in a preferred embodiment, two-dimensional (2D), three-dimensional (3D), and video libraries working on top of a graphics display driver. Such display drivers are commonly available from various vendors such as ATI Technologies Inc. of Thornhill, Ontario, Canada. Subsystem 22 provides a standard API to which middleware providers can be ported, and enables
- 30    video to be viewed combined with graphics. More particularly, the analog or digital video is combined with a graphics overlay to present a combined view to

09980766.1.1.504

the user. This subsystem 22 also provides audio, which can exist in multiple modes, such as stereo and surround sound. The audio subsystem can also support record and playback of audio files.

5       The network communications stacks 24 provide standardized networking stacks to facilitate web browsing and communications with external devices. This subsystem 24 includes many standardized network protocols which can be delivered over multiple  
10       communications paths. The stacks can comprise, for example, Mentor/Microtec's CNX communications extension to the VRTX operating system, available from Mentor Graphics Corporation.

      This subsystem 24 resides on top of the  
15       communications drivers (e.g., Ethernet and DOCSIS drivers) and provides, for example, a Berkeley sockets (Berkeley Software Design, Inc.) interface to protocols such as Transmission Control Protocol (TCP)/Internet Protocol (IP) (e.g., a TCP/IP interface over a DOCSIS  
20       modem or over an Ethernet device), User Datagram Protocol (UDP), Address Resolution Protocol (ARP), Internet Control Message Protocol (ICMP), Dynamic Host Configuration Protocol (DHCP) Client, Domain Name System (DNS) Client, and Point-to-Point Protocol (PPP) (dial-up  
25       uses CCP, Link Control Protocol (LCP) and Internet Protocol Control Protocol (IPCP) protocols).

      For the settop hardware 34 to operate the settop box for its intended functions, various drivers must be provided, as well known in the art. These are depicted  
30       in the Figure as low level device drivers 32, which are interfaced to a driver model used by the core settop system software 20 via device drivers-to-driver model interface 30.

The interface 30 separates the details of the driver model environment from the core system software 20, and is used to isolate the device drivers 32 from the specifics of the driver model provided by the operating environment. The low level device driver software 32 is hardware-specific and portable across operating environments. The driver model interface 30 ties the hardware-specific device driver 32 to the OS by providing the OS's desired interface to the driver.

Device drivers are provided for each device supported on the settop. These include a graphics driver, which may be written, e.g., on an ATI Hardware Access Provider (HAP) layer available from ATI Technologies, Inc. A graphics library for use in the settop can be written on the HAP. Other typical settop device drivers include a communications driver, the tuner (e.g., a combination of the General Instrument DOCSIS tuner and Quadrature Amplitude Modulation (QAM) code), serial ports (using, e.g., an IEEE 1394 high performance serial bus), parallel ports, Ethernet ports, Universal Serial Bus (USB) ports, Light-Emitting Diodes (LEDs), Keypad and/or Keyboard drivers, a DOCSIS driver, such as the Broadcom DOCSIS driver available from Broadcom Corporation of Irvine, California, U.S.A., and a smart card driver. A smart card may be used in the settop for consumer purchasing applications such as on-line buying. Each device in the settop requires a driver function to operate.

Details of the software interface 26 (termed "GITV"), which defines the services provided to application operating systems and middleware solution providers from the core settop system software 20, are set forth below. The interface can be implemented using

any known techniques which will be apparent to those skilled in the art.

## Software Interface Functions

### 1. Service Acquisition and Service Status APIs

#### 5 1.1. Service Acquisition Overview

Service Acquisition is the process of allowing a client to obtain downstream services. The Service Acquisition software manages the Service Acquisition process. Support is currently provided for DigiCipher  
10 II (DCII) acquisition of primary and background digital services. DCII, proprietary to the assignee hereof, is analogous to MPEG-2. Support is also provided for analog service acquisitions.

Only one primary service can be acquired at a time.  
15 A digital primary service and an analog primary service cannot both be acquired at the same time.

#### 1.2. AcquireServiceBlocking

Used to tune a specified virtual channel number (VCN) or source identifier (ID) using the specified  
20 service path (tuner). The service acquired is the primary service in the terminal, and replaces the previously tuned primary service. The call returns a status indicating any errors encountered while servicing the API.

#### 25 1.3. AcquireServiceNonBlocking

Used to tune a specified virtual channel number (VCN) or source ID on the specified service path. AcquireServiceNonBlocking initiates acquiring of a service but does not wait for completion of the  
30 acquisition before returning. The status returned by



the API indicates any basic errors that would prevent the API from being serviced. If the initial call did not have an error, then upon completion of the service acquisition (either by success or failure), the user-  
5 defined callback function is called, passing the user-allocated callback data structure.

#### 1.4. **GetServiceStatus**

Used to request status information on the currently tuned primary service on the specified service path.  
10 The API allocates and fills the service status structure, which includes the service path, virtual channel number, channel override status, service type, service component stream status, service acquisition status, and program status. The call returns a status  
15 indicating any errors encountered while servicing the API.

#### 1.5. **RegisterServiceStatus**

Used to register the client for unsolicited service status updates for the currently tuned primary service on the specified service path. The status returned by  
20 the API indicates any errors that would prevent the API from being serviced. If the initial call did not have an error, when the service status changes the user-defined callback function will be called, passing the  
25 user-allocated callback data structure.

#### 1.6. **CancelServiceStatus**

Used to cancel the registration for service status updates that was set up by the RegisterServiceStatus API. The call returns a status indicating any errors  
30 encountered while servicing the API.

### 1.7. GetVCTData

Used to get a summary of the current Virtual Channel Table information for all defined virtual channels in the system. The API allocates and fills the VCT data structure, which includes a record for each defined virtual channel. The call returns a status indicating any errors encountered while servicing the API.

### 1.8. GetVCTDocsisData

Used to get a summary of the current Virtual Channel Table information and characteristics for all defined DOCSIS downstream channels. DOCSIS downstream channels are defined to be any virtual channel that has a source ID equal to 23005. The API allocates and fills the VCT DOCSIS data structure, which includes a record for each defined DOCSIS channel. The call returns a status indicating any errors encountered while servicing the API. If there are no DOCSIS channels in the Virtual Channel Table, the API will return the error status value SAQERR\_VCT\_NO\_DOCSIS, and will not allocate any memory for the DOCSIS data structure.

### 1.9. SelectServiceComponent

Used to add a service component of the specified type to the primary service on the specified service path. If the component is a text or data component, the API places the connect ID for the new component in the user-allocated memory location. The call returns a status indicating any errors encountered while servicing the API.

### 1.10. DeselectServiceComponent

Used to deselect the specified component from the primary service on the specified service path. Service

[illegible]

$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = \frac{\partial L}{\partial x}$

$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = \frac{\partial L}{\partial x}$

[illegible]

$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{x}} \right) = \frac{\partial L}{\partial x}$

5    one attempt should be made to locate the PID stream  
      carrying the requested data. The call also returns a  
      status indicating any errors encountered while servicing  
      the API.

```

10      Used to extract IP Datagram Fragments from DVB-MPE
      Datagram Sections being carried on one or more
      elementary PID stream components. The Connection ID
      passed to this API identifies the elementary PID stream
      component and multicast MAC address of the requested
15     DVB-MPE datagram section. In some cases, the Connection
      ID will point to every datagram section being carried
      within the currently tuned service, regardless of its
      multicast MAC address. This occurs if a previous call to
      SelectServiceIPData was made with listenMode =
20     "PROMISCUOUS", rather than listenMode = "NORMAL".

```

Calls to ReadServiceIPData will result in only IP datagram fragments being passed to the call back function. Thus, the DVB-MPE datagram section header and CRC trailer will be removed before passing the message (i.e., IP datagram) to the requesting client. Note that ReadServiceIPData will return, via a callback, a disconnected status if, for example, a channel change is detected.

### 1.13. DeselectServiceIPData

Used to deselect the specified stream component that was previously selected with the SelectServiceIPData call. This call does not require component ID as does the normal DeselectServiceComponent. Service components that are deselected are disabled. The call returns a status indicating any errors encountered while servicing the API.

### 1.14. PostComponentRead

Used to request a message from a text or data-service component that was previously selected using the SelectServiceComponent API. The user specifies the connection ID of the text or data-service component. The PostComponentRead returns a status as soon as the read is posted, indicating any errors encountered while servicing the API, and the actual message is routed to the client by the message distribution software, using the callback function and the callback data structure.

### 1.15. AcquireBackgroundServiceBlocking

Used to acquire downstream data from the specified service source. The passed array of multicast addresses allows the user to specify the multicast addressed groups to receive messages for. The API places the correct ID for the new service in the user-allocated memory location. The call returns a status indicating any errors encountered while servicing the API.

### 1.16. AcquireBackgroundServiceNonBlocking

Used to acquire downstream data from the specified service source. The passed array of multicast addresses allows the user to specify the multicast

5

10

## 15

### 1.18. PostBackgroundRead

20

25

## 30

Used to obtain the virtual channel number(s) associated with the specified SourceID. If there are multiple VCNs associated with a single source ID, the complete list of associated VCNs is returned. A

successful call will fill in the API allocated structure, which includes the number of VCNs actually associated with the VCN, the number of VCN/service type pairs actually returned for the call, and the actual VCN and service type (analog vs. digital) for each of the VCNs defined. The API will assign the passed pointer to the allocated structure. The call returns a status indicating any errors encountered while servicing the API.

#### 10           1.20.   VCNtoSourceID

Used to obtain the Source ID associated with the specified VCN. A successful call will fill in the user allocated SourceID parameter. There is only a single source ID associated with a VCN. The call returns a status indicating any errors encountered while servicing the API.

#### 1.21.   PendingVCTChange

Used to obtain a list of pending changes to the Virtual Channel Table (VCT), from the specified (GPS-Global Positioning Satellite) time forward. A successful call will fill in the API allocated structure with the pending VCT changes and assign the passed pointer to the allocated structure. Each pending change includes the Virtual Channel Number, Service Source ID, and the Global Positioning System (GPS) time indicating when the change to the VCT is scheduled to occur. The call returns a status indicating any errors encountered while servicing the API.

#### 1.22.   GetDCBM

30           Used to obtain the Defined Channel Bit Map (DCBM) for the specified channel type. The DCBM is a bit-map that represents the currently defined virtual channels

(services). A successful call will fill in the user allocated DCBM array. This list of defined channels is provided as a packed array of bits, with one bit location per service. A bit value of 1 represents a defined channel, 0 represents not defined. The call returns a status indicating any errors encountered while servicing the API.

#### 1.23. ServiceComponentSplice

Used to identify the next audio and/or video components for a service, and to determine whether audio and/or video are to be switched.

#### 1.24. AppIDtoVCN

Used to obtain the virtual channel number associated with the specified application ID. A successful call will fill in the user allocated pVcn parameter (a pointer to VCN information). There is only a single VCN associated with an application ID. The call returns a status indicating any errors encountered while servicing the API.

#### 1.25. VCNtoAppID

Used to obtain the application ID associated with the specified VCN. A successful call will fill in the user allocated application ID parameter. There is only a single application ID associated with a VCN. The call returns a status indicating any errors encountered while servicing the API.

#### 1.26. SourceNameToAppID

Used to obtain the application ID associated with the specified source name string. A successful call will fill in the user allocated pAppID parameter (a pointer to where to place the application ID). There is only a single application associated with a source name string.



The call returns a status indicating any errors encountered while servicing the API.

### 1.27. AppIDtoSourceName

Used to obtain the source name string ID associated with the specified application ID. A successful call will fill in the API allocated source name string parameter and the user allocated name length parameter. There is only a single source name string associated with an application ID. The call returns a status indicating any errors encountered while servicing the API.

## 2. System APIs

### 2.1. SysRequestConfiguration

Used to get the current terminal configuration. The call returns a status indicating any errors encountered while servicing the API.

### 2.2. SysRequestEPGConfig

Used to get the following Electronic Program Guide (EPG) information:

- Group Assignment Configuration - A list of current Group Assignments. Group Assignments provide a means of assigning a settop to a particular application group.
- Currency Region Configuration - Defines the currency region. The currency region can be used to define different cost regions.
- EPG Region Configuration - Specifies the logical location of the DCT, from the application's perspective.
- Preferred Language - The current preferred language.

The call returns a status indicating any errors encountered while servicing the API.

### 2.3. SysRequestStatus

Used to get the current converter system status.

5 The call returns a status indicating any errors encountered while servicing the API.

### 2.4. SysRequestTimestamp

Used to get a system timestamp with local time to the second, current date, time zone information, etc.

10 The call returns a status indicating any errors encountered while servicing the API.

### 2.5. SysTimestampRegister

Used to register the client for unsolicited system timestamp updates. The system timestamp indicates local time to the second, including the current date, day of the week, time zone information, etc. The system timestamp is received from the headend and forwarded asynchronously to the client. The status returned by the API indicates any errors that would prevent the API from being serviced. If the initial call did not have an error, then whenever a new system timestamp is received, the user defined callback function will be called, passing the user-allocated callback data structure.

25 2.6. SysTimestampCancel

Used to cancel the registration for system time updates that was set up by the SysTimestampRegister API. The call returns a status indicating any errors encountered while servicing the API.

30 2.7. SysSetRFOutChannel

Used to set the output channel number for the radio frequency (RF) modulator hardware. The call returns a

status indicating any errors encountered while servicing the API.

#### 2.8. SysControlOnOffState

Used to set the terminal to the On or Off state.

5 The call returns a status indicating any errors encountered while servicing the API.

#### 2.9. SysControlACRelay

Used to switch between the different utility outlet modes. The terminal features the ability to control the operation of a utility outlet between switched (automatic mode) control and continuous force on / continuous force off modes. When the terminal is in the automatic mode, the utility outlet will be powered while the terminal is in the ON state and unpowered while the terminal is in the OFF state. The manual operation modes allow the application to set the utility (U.S. type) outlet ON continuously or OFF continuously. The call returns a status indicating any errors encountered while servicing the API.

#### 20 2.10. SysControlRFBypass

Used to select whether the RF signal is routed through the terminal or bypasses the terminal. This feature allows more friendly operations with cable-ready TVs and VCRs. The feature duplicates the TV/VCR switch found in many VCRs. The act of turning OFF the terminal will force the bypass condition. The cable operator may override the selected state. The actual state can be determined using SysRequestStatus(). The call returns a status indicating any errors encountered while servicing the API.

TOP SECRET

### 2.11. SysSetLanguage

Used to set the consumer's preferred language. The call returns a status indicating any errors encountered while servicing the API.

## 5 3. Unsolicited Event Indication APIs

### 3.1. UsolEventRegister

Used to register clients for unsolicited event indication messages. The status returned by the API indicates any errors that would prevent the API from being serviced. If the initial call did not have an error, then whenever a new event occurs for which a client is registered, the user defined callback function will be called, passing the user-allocated callback data structure.

### 15 3.2. UsolEventCancel

Used to cancel the registration for an unsolicited event indication that was set up by the UsolEventRegister API. The call returns a status indicating any errors encountered while servicing the API.

## 4. Baseline Privacy Interface APIs

### 4.1. Purpose & Scope

This section is intended to define a BPI cryptographic services interface by defining a set of API functions to support BPI key management (BPKM) protocol for a DOCSIS driver. These APIs shall not be responsible for the implementation of Authorization and Traffic Encryption Key (TEK) state machines in BPKM.

### 4.2. Security Objectives and Goals

30 API functions are defined to provide cryptographic

services for the above-mentioned two state machines only. The cryptographic services shall include the following:

- Provide Cable Modem's RSA public key to the DOCSIS Driver.
- Perform RSA decryption operation on encrypted Authorization Key provided by DOCSIS Driver. There are two independent modes in which the GI BPI APIs can be included into the code.
  - Shall return decrypted Authorization Key to the DOCSIS Driver if DOCSIS Driver has capability of deriving all of the necessary remaining keys. If this mode is selected, only two BPI APIs need to be included in the code (CMExportID, CMAuthKey).
  - Shall not return decrypted Authorization Key to the DOCSIS Driver. If this mode is selected, then five BPI APIs need to be included in the code (CMExportID, CMDeriveKeys, CMGenerateHMAC, CMVerifyHMAC, CMDecryptTEK).
- Generate Key Encryption Key (KEK) based on the decrypted Authorization Key, but shall not return KEK to the DOCSIS Driver.
- Generate upstream hashed-based message authentication code (HMAC) Key, but shall not return the upstream HMAC key to the DOCSIS Driver.
- Authenticate the Key Request message, and return the upstream HMAC keyed message digest to the DOCSIS Driver.
- Generate downstream hashed-based message authentication code (HMAC) Key, but shall not return the downstream HMAC key to the DOCSIS Driver. Validate the downstream HMAC using the downstream HMAC key.

◦ Decrypt DES encrypted Traffic Encryption Key (TEK) using KEK, and return TEK to the DOCSIS Driver.

#### 4.3. Definitions, Acronyms, Abbreviations

BPI Baseline Privacy Interface  
 5 BPKM Baseline Privacy Key Management protocol  
 CM Cable Modem  
 CMTS Cable Modem Termination System  
 CBC Cipher Block Chaining  
 CSP Interface Cryptographic Service Provider  
 10 DES Data Encryption Standard algorithm (FIPS-46,  
 FIPS-46-1, FIPS-74, FIPS-81)  
 DOCSS Data Over Cable Security System  
 DOCSIS Data Over Cable Service Interface

#### Specifications

15 HMAC Hashed-based Message Authentication Code  
 KEK Key Encryption Key  
 RSA Rivest Shamir Adleman, public key encryption  
 technique  
 SHA Secure Hash Algorithm  
 20 SID Service Identification  
 TEK Traffic Encryption Key

#### 4.4. Message Support Functions

##### CMExportID

Used to export CM-Identification attributes from the BPI Cryptographic Service Provider (CSP). CM-  
 25 Identification attributes are used in Authorization Request and Key Request messages.

##### CMAuthKey

Used to decrypt the Authorization Key (Auth Key) sent in the Authorization Reply message, and then pass the decrypted Auth Key back to the caller. This  
 30 function shall be called by the DOCSIS Driver.

5  
10

## CMDeriveKeys

15

20

## CMGenerateHMAC

25

## CMVerifyHMAC

Used for CM to authenticate the Key Reply, Key Reject, and TEK Invalid message.

**CMDencryptTEK**

Used for CM to decrypt the TEK-Key sub-attribute in a SA-Parameters attribute, as defined in section 4.2.1.5 of BPI Spec. This API will only produce one clear TEK each time it is accessed. In order for the Cable Modem (CM) to meet the requirement to have the primary and the secondary TEK available the DOCSIS driver will need to make this API call twice. The DOCSIS driver shall keep track of which call to the API is for the primary TEK and which call is for the secondary TEK.

## 10           5.    Downloader APIs

### 5.1.    Overview

The Downloader provides an API for applications and the App OS to manage objects loaded into the DCT5000 either from the head-end or via API calls.

### 15           5.2.    FindFirstObject

Used to search for a currently loaded object and return information about it. It can be used in conjunction with the FindNextObject API to search the object directory for all objects matching specified criteria. The call returns a status indicating any errors encountered while servicing the API.

### 5.3.    FindNextObject

Used to search for the next currently loaded object and return information about it. It is used in conjunction with the FindFirstObject API to search the object directory for all objects matching specified criteria. The FindFirstObject call must have returned a successful result before this call can be used to continue a search. All parameters specified on the FindNextObject must match those from the previous FindNextObject or the FindFirstObject call. Once a not



found condition is returned, a FindFirstObject must be used to start a new search. This call returns a status indicating any errors encountered while servicing the API.

#### 5           5.4.     RegisterObjectManager

Used by the App OS to register as the manager for managed objects. The Downloader will notify the object manager via a callback whenever a managed object's state changes or is requested to change (e.g., delete message from head-end). The call returns a status indicating any errors encountered while servicing the API.

#### 5.5.     WriteCompleteObject

Used to create and write an object in one atomic operation. This is useful when the object has been completely loaded into a memory buffer. The call returns a status indicating any errors encountered while servicing the API.

#### 5.6.     CreateObject

Used to prepare for an object to be written to memory, including allocating space for it. This is useful for creating an object when all the data for the object is not presently available in memory (see WriteCompleteObject). The call returns a status indicating any errors encountered while servicing the API.

The combination of CreateObject, WriteObject, and CloseObject can be used to create an object that is not available all at once to be written in a single write operation (as with WriteCompleteObject). The caller is responsible for tracking the areas of the object that have been written to. If a CloseObject is issued before all parts of the object have been written, the contents

of unwritten portions of the object may contain random values (i.e., no attempt is made by WriteObject to track what areas have been written to). If an attempt is made to write new data over data already written with a  
 5 previous WriteObject, either the new data will be successfully stored over the old data (if in RAM) or a write error will occur (if in flash memory).

#### 5.7. WriteObject

Used write a portion of an object to memory. The  
 10 call returns a status indicating any errors encountered while servicing the API.

#### 5.8. CloseObject

Used to terminate writing to object memory for a specified object. The call returns a status indicating  
 15 any errors encountered while servicing the API.

#### 5.9. ReadObject

Used to obtain the contents of the specified object. The call returns a status indicating any errors encountered while servicing the API.

#### 20 5.10. DeleteObject

Used to remove one or more objects from memory. The call returns a status indicating any errors encountered while servicing the API.

#### 5.11. PurgeObject

25 Used to remove one or more objects from memory. The call returns a status indicating any errors encountered while servicing the API.

#### 5.12. Callback Functions

##### Object Manager Callback

30 The object manager may receive callbacks from the Downloader, depending on activity that occurs in the DCT5000 related to downloaded objects. When a

significant event occurs, the object manager is called with a pointer to the callback structure that identifies the event and the object to which the event applies. Callbacks are issued as events occur, so there may be more than one outstanding callback at any given time. The structure is both allocated and deallocated by the Downloader.

## **6. Purchase and Authorization APIs**

### **6.1. PurchaseProgramImmediate**

Requests that the program on the currently tuned VCN be purchased. The specified VCN must match the currently tuned VCN, and ServiceStatus should indicate that the program is purchasable.

### **6.2. PurchaseProgram**

Requests that the program on the currently tuned VCN be purchased. The specified VCN must match the currently tuned VCN, and ServiceStatus should indicate that the program is purchasable.

### **6.3. CancelProgramPurchase**

Requests that the purchase of the specified program be canceled. The cancel ID and the VCN are required to cancel a previously purchased program. It is not necessary to be tuned to the specified VCN in order to cancel a purchase. The cancel ID is used to uniquely identify the purchase to be canceled, and the VCN is used as a secondary cross-reference. Note that the purchase must be "cancelable" for the request to be fulfilled. A purchase is cancelable if the time of the cancellation falls within the Cancelable Window and the headend has configured the terminal to allow canceling. The Cancelable Window is defined (approximately) as the

#### 6.4. PurchasePackageImmediate

10                    6.5.      PurchasePackage

### 6.6. CancelPackagePurchase

Requests that the purchase of the specified packaged service be canceled. The cancel ID and the VCN are required to cancel a previously purchased program. It is not necessary to be tuned to the specified VCN in order to cancel a purchase. The cancel ID is used to uniquely identify the purchase to be canceled, and the VCN is used as a secondary cross-reference. Note that the purchase must be "cancelable" for the request to be fulfilled. A purchase is cancelable if the time of cancellation falls within the Cancelable Window and the headend has configured the terminal to allow canceling. The Cancelable Window is defined (approximately) as the time between the start of the IPPV window and the end of the free preview (i.e. IPPV start + free preview duration).

## 5

10

## 15

20

## 25

## 30

## 30

Verifies the indicated password for a particular slot. Password slot PARENTAL\_PASSWORD is used for the Parental Control password and slot PURCHASE\_PASSWORD for purchasing. Additional slots may be provided for generic passwords, depending on the terminal model. If the password has been cleared or never been set, any password will match. The call returns a status

indicating any errors encountered while servicing the API.

## 8. Initialization APIs

## 8.1. StartGITV

5            Starts GITV. The application operating system is the external client that interfaces to this API. The call returns a status indicating any errors encountered while servicing the API.

## 8.2. IsGITVReady

10        Verifies GITV is running. The application  
operating system is the external client that interfaces  
to this API. The call returns a status indicating any  
errors encountered while servicing the API.

## 9. Platform Configuration APIs

```
15          9.1.  GetSDRAMInfo
```

Checks the validity of SDRAM, returning the starting address, size and validity of the SDRAM installed in the settop. The call returns a status indicating any errors encountered while servicing the API.

## 9.2. GetEndianStatus

Returns the ENDIANness of the CPU at initialization.

### 9.3. GetNVMEMInfo

25        Checks the validity of NVMEM, returning the  
starting address, size and validity of the NVMEM  
installed in the settop. The call returns a status  
indicating any errors encountered while servicing the  
API.

## 5

10

## 15

## 9.6. GetMemoryInfo

## 9.7. GetCableModemInfo

## 9.8. GetMiscellaneousInfo

25

## 30

Retrieves information about the Ethernet interface installed in the settop. The call returns a status

### 9.11. GetParallelPortInfo

## 9.12. GetHardDriveInfo

### 9.13. GetPlatformInfo

## 10. Diagnostic APIs

20           Indicates the type of error when an error has  
occurred. Since the settop could be one of the  
following: Bootloader, Base Platform or Native Suite,  
the coding scheme and interpretation may differ per  
platform. This API does not support the Bootloader  
25 error codes. These can be found in the Start-up  
Database. This call returns a status indicating any  
errors encountered while servicing the API.

```

30         The GetPurchaseStatusdiagnostic contains
        information related to IPPV purchases in the settop.
        This call returns a status indicating any errors

```



5  
10  
15  
20  
25  
30

## 10.4. GetLastReset

The output / Remod port is the interface from the terminal to the subscriber's television set. This call returns a status indicating any errors encountered while servicing the API.

The GetLastReset indicates the last reset time in GPS seconds, the type of reset that occurred and the last Fatal Error Log entry.

Indicates the VCT ID for the virtual channel table that is resident in the settop.

Indicates the status of the OOB stream components. This call returns a status indicating any errors encountered while servicing the API.

Indicates the status of the current INBAND multiplex.

Indicates the unit addresses assigned to the settop. This call returns a status indicating any errors encountered while servicing the API.

Indicates the status of the last attempted primary service acquisition.

The concept of Renewable security changes to

5

### 10.11. GetRFModemStatus

10

## 10.12. GetCodeModuleStatus

15

### 10.13. GetMemoryStatus

## 10.14. GetDOCSISStatus

20

### 10.15. GetUSBStatus

25

## 10.16. Get1394Status

30

**10.17. GetEthernetStatus**

Returns status of the Ethernet port. The call returns a status indicating any errors encountered while servicing the API.

5      **10.18. GetParallelStatus**

Returns status of the Parallel port. The call returns a status indicating any errors encountered while servicing the API.

**10.19. GetIRBlasterStatus**

10      Returns status of the IR blaster (transmitter). The call returns a status indicating any errors encountered while servicing the API.

**10.20. GetIRKeyboardStatus**

15      Returns status of the IR keyboard. This call returns a status indicating any errors encountered while servicing the API.

**10.21. GetIRRemoteStatus**

20      Returns status of the IR remote. The call returns a status indicating any errors encountered while servicing the API.

**10.22. GetSmartCardStatus**

Returns status of the Smart Card. The call returns a status indicating any errors encountered while servicing the API.

25      **10.23. GetHardDriveStatus**

Returns status of the hard drive. The call returns a status indicating any errors encountered while servicing the API.

**10.24. GetSettopGraphicsStatus**

30      Returns status of the settop graphics system. The call returns a status indicating any errors encountered

00000760 44504

5

10

15

## 20

25

## 30

The AC-3 Compression Control API call allows a

5  
10  
15  
20  
25  
30

The SAP Selection API call allows a client to select the Secondary Audio Program (SAP) Audio Source within an Analog Service, if available. This operation only applies to a single Analog Service. Specifically, the settop only allows SAP to be selected while acquired or tuned to an Analog Service with SAP.

The Sony Philips Digital Interface Format (SPDIF) Selection API call allows a client to select the settop's SPDIF or Digital Audio Output path over the Analog Audio Output paths (remod and baseband).

```
- SPDIF Enabled: Settop outputs audio over
SPDIF, baseband and remod audio is unavailable. Audio
output formats include: downmixed surround (l+r),
stereo, and mono.
```

- SPDIF Disabled: Settop outputs audio over the Analog Output paths (remod, and baseband). Audio output formats include: downmixed surround (l+r), stereo, and mono.

This API call allows a client to adjust the settop's master audio volume. The settop adjusts both the left and right channel outputs.

## 5

## 11.9. AudioMute

### 11.10. AudioTvVolume (Basic Control)

10

The TV Balance Volume Control API call allows a user to adjust the relative volume of TV audio sources separate left and right channel values.

15

### 11.13. AudioLocalVolume (Basic Control)

20

This API call allows a client to adjust the  
ive volume of local audio sources (PCM, wave, etc.)  
separate left and right channel values.

25

## 11.16. AudioStatus

30

## 12.2. VideoControlTvBlankModeSelect

### 12.3. VideoStatus

### 13.1. ResourcePermissionCheck

### 13.2. ResAuthStatusRegister

Used to register the client to receive a notification if the authorization status of a resource changes. The status returned by the API indicates any errors that would prevent the API from being serviced. Once registered for a particular resource, whenever the

authorization status for that resource changes, the user will be notified using the callback mechanism.

### **13.3. ResAuthStatusCancel**

5 Used to cancel the registration for a resource authorization status indication that was set up by the ResAuthStatusRegister API. The call returns a status indicating any errors encountered while servicing the API.

## **14. High Definition Passthrough APIs**

### **10 14.1. GITV\_Get13945CData**

This function is called to obtain the block of protected flash data containing the DTC data.

### **14.2. GITV\_Set1394NVRAMData**

15 This function is called to write the block of non-volatile random access memory (NVRAM) data containing the DTC data.

### **14.3. GITV\_Get1394NVRAMData**

This function is called to read the block of NVRAM data containing the DTC data.

### **20 14.4. GITV\_GetTextDescriptor**

Provides an alphanumeric description of the settop on the 1394 bus.

### **14.5. GITV\_DTVConnectStatus**

Defines the current state of the DTV connection.

### **25 14.6. GITV\_Get13945CSRM**

This function is called to obtain the 1394 5C SRM (System Renewability Message(s)). These messages contain certificate revocation lists of devices that are no longer 5C compliant. This is used to ensure the  
30 long-term integrity of the system. The SRM format is



described in chapter 7 of the 1394 5C specification  
"Digital Transmission Content Protection Specification"  
Volume 1, Revision 1.0 dated 4/12/99.

It should now be appreciated that the present  
5 invention provides a software interface that enables  
television settop middleware and operating system (OS)  
software to control and interact with core settop system  
software in a subscriber television terminal to  
facilitate the provision of desirable digital television  
10 services, including service acquisition (e.g.,  
acquisition of a pay-per-view movie), system information  
management, settop configuration and control, return  
path for polling, download capability including  
authentication and authorization, and non-volatile  
15 memory management.

Moreover, the software interface is operable in an  
architecture that enables core settop software to be  
compatible with multiple settop operating environments.  
With this software architecture, it is not necessary to  
20 port the core software to different operating  
environments by rewriting code specific to each  
environment or through an abstraction layer. Instead, a  
unique device drivers-to-driver model interface is  
provided together with a kernel abstraction component  
25 that overcomes portability issues and provides for a  
clean interface between layers in the architecture.

The invention can be implemented using object  
oriented techniques, such as C++ or Java programming,  
although the invention is by no means limited to such an  
30 implementation.

The combination of the components described herein  
provides a basis for settop software to enable advanced

09500760 444504

features of an advanced settop box implementation. Each of the components provides necessary functions required by an advanced settop terminal. The novel architecture of the invention provides the benefits of reuse and portability of the core settop software to alternate operating environments, thereby allowing well-understood and previously tested software components to be reused. The architecture also allows implementations in alternate operating environments to be quickly and straightforwardly provided.

Although the invention has been described in connection with various specific implementations, it should be appreciated that various adaptations and modifications can be made thereto without departing from the scope of the invention as set forth in the claims.